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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

JOHN V. BIVONA, et al.,

Defendants.

Case No. [16-cv-01386-EMC](#)

**CERTIFICATE OF SERVICE**

I, the undersigned, hereby certify that I am an employee in the Office of the Clerk, U.S. District Court, Northern District of California.

That on 11/14/2017, I SERVED a true and correct copy(ies) of the attached, by placing said copy(ies) in a postage paid envelope addressed to the person(s) hereinafter listed, by depositing said envelope in the U.S. Mail, or by placing said copy(ies) into an inter-office delivery receptacle located in the Clerk's office.

Frank Gregory Mazzola  
Michelle J. Mazzola  
27 Dogwood Hill Drive  
Upper Saddle River, NJ 07458

Dated: 11/14/2017

Susan Y. Soong  
Clerk, United States District Court

By: Leni Doyle-Hickman, Deputy Clerk to the  
Honorable EDWARD M. CHEN

United States District Court  
Northern District of California